

1FW

DOCKET NO. ~~MSF~~ 5310/307233.01

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Andrew D. Birrell, Michael Burrows,**

**Edward Pearce Wobber**

**Confirmation No.: 1990**

**Application No.: 10/806,020**

**Group Art Unit: 2131**

**Filing Date: March 22, 2004**

**Examiner: Not Yet Assigned**

**For: CRYPTOGRAPHIC PUZZLE CANCELLATION SERVICE FOR  
DETECTING BULK ELECTRONIC MAIL MESSAGES**

DATE OF DEPOSIT: **NOVEMBER 18, 2005**

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID,  
ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO THE UNITED STATES PATENT AND  
TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA,  
VA 22313-1450.

TYPED NAME: Steven J. Rocci  
REGISTRATION NO.: 30489

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified

application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of reference numbers **1 thru 3** listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of reference numbers **4 thru 6** on the attached Form PTO 1449 are not required to be submitted pursuant to the waiver of 37 CFR § 1.98(a)(2)(i).

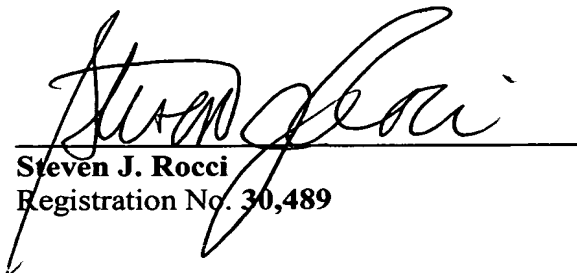
☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for

which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: **NOVEMBER 18, 2005**



**Steven J. Rocci**  
Registration No. 30,489

**WOODCOCK WASHBURN LLP**  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



Sheet 1 of 2

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>MSFT-5310/307233.01</b>		Application No. <b>10/806,020</b>	
	Applicant <b>Andrew D. Birrell, Michael Burrows, Edward Pearce Wobber</b>			
	Filing Date <b>March 22, 2004</b>		Group <b>2131</b>	
	Confirmation No. <b>1990</b>			
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>				
	<b>1</b>	picoSQL, "Query Language Reference Guide", 2003, <a href="http://www.picosoft.it/picosql/Manuale">http://www.picosoft.it/picosql/Manuale</a> , 26 pages, XP-002332130		
	<b>2</b>	Mini SQL 2.0 User Guide, Hughes Technologies, July 23, 1997, <a href="http://www.ictp.triests.it/msql/manual">http://www.ictp.triests.it/msql/manual</a> , XP-002332131, 78 pages		
	<b>3</b>	"Software, Hardware, Services, Crypto-Anti-Spam Measures Fall Short", <i>Infosecurity Today</i> , November 2004, 1(6), 6, XP004659107		
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>		

**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.  
**MSFT-5310/307233.01**

Application No.  
**10/806,020**

Applicant  
**Andrew D. Birrell, Michael Burrows, Edward  
Pearce Wobber**

Filing Date  
**March 22, 2004**

Group  
**2131**

Confirmation No.  
**1990**

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	4	2002/069241 A1	06/06/02	Narlikar, G. et al.	709	203
	5	2004/093371 A1	05/13/04	Burrows, M. et al.	709	201
	6	2005/050364 A1	03/03/05	Feng, W.C.	713	201

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

**EXAMINER****DATE CONSIDERED**